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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/978,273	10/15/2001	Chrisotpher John Robert Thomas	9341-027-999

CONFIRMATION NO. 4447

20583
PENNIE AND EDMONDS
1155 AVENUE OF THE AMERICAS
NEW YORK, NY 100362711

FORMALITIES LETTER



OC00000007081255

Date Mailed: 11/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - The specification cannot be scanned or properly stored. Page(s)
 - Papers must be legibly written either by a typewriter or mechanical printer in permanent ink or its equivalent in portrait orientation on flexible, strong, smooth, non-shiny, durable, and white paper. Application papers must be presented in a form having sufficient clarity and contrast between the paper and the writing thereon to permit the direct reproduction of readily legible copies in any number by use of photographic, electrostatic, photo-offset, and microfilming processes and electronic reproduction by use of digital imaging and optical character recognition. Pages 1-62 are not in compliance with 37 CFR 1.52(a). *The drawings filed are unacceptable because:*
Replacement page(s), together with a statement that the replacement page(s) contain no new matter, are required.

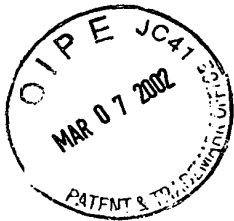
A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/05/2009 10:00:00



Sector#

Express Mail No.: EL 477 034 939 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Thomas *et al.*

Application No.: 09/978,273

Art Unit: 1649

Filed: October 15, 2001

Examiner: Not Yet Assigned

For: PLANT CELL DEATH SYSTEM

Atty Docket No.: 9341-027-999

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION**

Assistant Commissioner for Patents
Washington, DC 20231
S I R :

Pursuant to a Notice to File Missing Parts of Nonprovisional Application mailed November 16, 2001, in connection with the above-identified application, Applicants submit herewith: (a) a Declaration for Nonprovisional Application executed by inventor Christopher John Robert Thomas on December 14, 2001, by inventors Michael John McPherson and Howard John Atkinson on December 4, 2001, and by inventor Anil Neelam on January 23, 2002; (b) a Power of Attorney executed by Margot Ruth Walford, Assistant Secretary, for assignee, Advanced Technologies (Cambridge) Limited, on February 7, 2002; (c) a substitute specification (pages 1-62); (d) a Statement under 37 C.F.R. § 1.125 that the substitute specification does not contain new matter; (e) a copy of the Notice to File Missing Parts of Nonprovisional Application; and (f) a Petition for Extension of Time for two (2) months from January 16, 2002, to and including March 16, 2002.

An Assignment of the above-captioned patent application to Advanced Technologies (Cambridge) Limited executed by inventor Christopher John Robert Thomas on December 14, 2001, by inventors Michael John McPherson and Howard John Atkinson on December 4, 2001, and by inventor Anil Neelam on January 23, 2002, is submitted for recordation on even date herewith. A copy of that Assignment is attached hereto.

The surcharge required to be filed with the accompanying Declaration under 37 C.F.R. § 1.16(e) has been estimated to be \$ 130.00 (Large Entity). Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

Laura A. Coruzzi 30,742
Laura A. Coruzzi (Reg. No.)

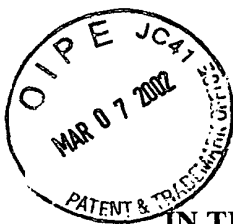
Date March 6, 2002

By: Michael J. Ryan 41,283
Michael J. Ryan (Reg. No.)

PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, New York 10036-2711
(212) 790-9090

Enclosures

09/09/02 10:30:00



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STATEMENT UNDER 37 C.F.R. § 1.125

Assistant Commissioner for Patents
Washington, DC 20231

S I R :

The undersigned states that no new matter has been added to the Substitute Specification (Pages 1-62) submitted herewith in response to the Notice to File Missing Parts of Nonprovisional Application mailed November 16, 2001.

No fee is believed to be due for the submission of the Substitute Specification. However, if the Commissioner should determine otherwise, please charge the required fee to Pennie & Edmonds LLP Deposit Account 16-1150.

Respectfully submitted,

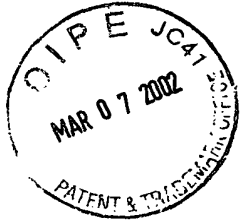
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